

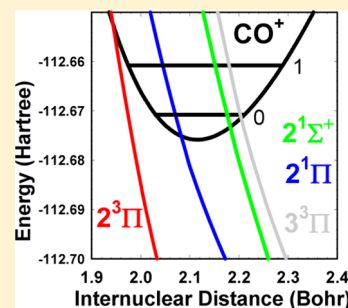
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1 Potential Curves for the Dissociative Recombination of CO<sup>+</sup>

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4 **ABSTRACT:** Large scale ab initio calculations are reported for the diabatic <sup>3</sup>Π, <sup>1</sup>Π, <sup>1</sup>Σ<sup>+</sup>, <sup>1</sup>Δ, <sup>3</sup>Σ<sup>+</sup>, and <sup>3</sup>Δ valence states of CO that provide routes for the dissociative recombination of the ground electronic and vibrational state of CO<sup>+</sup>. The most important routes are <sup>2</sup>³Π, <sup>3</sup>³Π, <sup>2</sup>¹Π, and D<sup>1</sup>Σ<sup>+</sup>. For electron energies below 0.2961 eV, from the ν = 0 ion level, the first two states can generate excited atoms, O(<sup>1</sup>D) and C(<sup>1</sup>D), but the last two states yield only ground state atoms. From ν = 0, hot ground state atoms are generated at 0 eV from each of the four states with C and O having 1.67 and 1.25 eV of kinetic energy, respectively. The potential curves are compared to prior calculations and experiments.



## I. INTRODUCTION

12 CO<sup>+</sup> has been detected in planetary nebulae,<sup>1</sup> and dissociative  
13 recombination (DR)



15 may be the dominant destruction mechanism. CO<sup>+</sup> is a major  
16 species in the plasma tail of comets, and DR has been included  
17 in detailed models of comet atmospheres.<sup>2</sup> DR was proposed as  
18 a source of C in the inner coma and as a source of C (<sup>1</sup>D → <sup>3</sup>P)  
19 emission at 1931 Å in comet spectra.<sup>3</sup> The interpretation of  
20 comet Halley measurements has included CO<sup>+</sup> DR<sup>4</sup> as has  
21 another model of a diamagnetic cavity surrounding the  
22 nucleus.<sup>5</sup> The Pioneer Venus Orbiter Ultraviolet Spectrometer  
23 observed a hot C corona due in part to DR.<sup>6</sup> At Mars, DR of  
24 CO<sup>+</sup> isotopomers is a source of atomic isotope enrichment.<sup>7</sup>  
25 DR of CO<sup>+</sup> is the main source of C and O in the Triton  
26 ionosphere.<sup>8</sup>

27 The ab initio calculation of DR cross sections and rate  
28 constants requires potential curves for the ion on the left side of  
29 eq 1 and for the dissociative routes on the right side. The  
30 calculation of these potential curves is the subject of this paper.  
31 Under most conditions, including those in planetary iono-  
32 spheres, planetary nebulae, interstellar clouds, and laboratory  
33 experiments, CO<sup>+</sup> will radiatively relax to the ν = 0 level of the  
34 ground state before it can recombine with an electron.  
35 Therefore, DR of only the ν = 0 ion level is considered here.

36 In section II, the calculation of the potential curves is  
37 described. The atomic products of DR are discussed in section  
38 III. There have been many prior determinations of potential  
39 curves and spectroscopic constants from other theory and  
40 experiments, and these are compared to the present work in  
41 section IV. The conclusions are in section V.

## II. POTENTIAL CURVES

42 **A. CO<sup>+</sup> and CO Ground States.** The potential curves have  
43 been calculated with a cc-pVQZ Gaussian basis set<sup>9</sup> on the C  
44 and the O using the MOLPRO programs.<sup>10</sup> The main  
45 configuration near R<sub>e</sub> in the X<sup>2</sup>Σ<sup>+</sup> state of CO<sup>+</sup> is

1σ<sup>2</sup>2σ<sup>2</sup>3σ<sup>2</sup>4σ<sup>2</sup>5σ1π<sup>2</sup>1π<sup>2</sup>. The orbitals were determined in  
complete active space self-consistent field (CASSCF)<sup>11</sup>  
calculations in which 1σ and 2σ were kept fully occupied and  
the active space consisted of the 3σ–6σ, 1π, and 2π orbitals.  
The ion orbitals were optimized for the X<sup>2</sup>Σ<sup>+</sup> state. The  
internally contracted multireference configuration interaction  
(MRCI)<sup>12</sup> wave function was calculated by generating all single  
and double excitations from the full CASSCF wave function,  
keeping the 1σ and 2σ orbitals fully occupied in all  
configurations. A single root was determined in the MRCI  
for R ≤ 4.6a<sub>0</sub>. The lowest root is <sup>2</sup>Δ for R > 4.6a<sub>0</sub>. In a two-root  
MRCI, the lowest root at R > 4.6a<sub>0</sub> is X<sup>2</sup>Σ<sup>+</sup> and the second root  
is <sup>2</sup>Δ. Therefore, a two-root MRCI was done for R > 4.6a<sub>0</sub>.  
Because of the nature of the MRCI approach, this leads to a  
slight inconsistency with the energies at smaller R, but the  
difference is very small. The ion energies are given in Table 1,  
and the calculated properties are compared to experiment<sup>13</sup> in  
Table 2. All reported energies are from the MRCI including the  
Davidson correction. Table 2 also has properties for the CO  
ground state calculated by an equivalent approach with orbitals  
optimized for the ground state. The calculated neutral ground  
state energy at R<sub>e</sub> is –113.189 044 au. The ionization energy  
relative to the calculated ground state and the ion fundamental  
frequency are only 0.05 eV and 14 cm<sup>–1</sup> too low, respectively,  
and the equilibrium internuclear separation is only 0.0083a<sub>0</sub>  
greater than experiment. For the neutral ground state, the  
differences from experiment are similar to those for the ion  
except for the anharmonicity, which is described better in the  
ground state.

**B. Neutral Excited States.** The mechanism for dissociative  
recombination in CO<sup>+</sup> requires that the dissociative curves

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Table 1. Energies<sup>a</sup> for CO<sup>+</sup>, X<sup>2</sup>Σ<sup>+</sup>

R (bohr)	energy (au)	R (bohr)	energy (au)
1.550	-0.225 473	2.6	-0.595 369
1.575	-0.279 967	2.65	-0.583 471
1.600	-0.329 251	2.7	-0.571 593
1.625	-0.373 736	2.75	-0.559 829
1.65	-0.413 802	2.8	-0.548 255
1.675	-0.449 800	2.85	-0.536 937
1.70	-0.482 053	2.9	-0.525 927
1.725	-0.510 860	2.95	-0.515 268
1.75	-0.536 497	3.1	-0.485 708
1.775	-0.559 218	3.2	-0.468 230
1.80	-0.579 259	3.3	-0.452 651
1.825	-0.596 838 <b>1</b>	3.4	-0.439 009
1.85	-0.612 156	3.5	-0.427 286
1.875	-0.625 397	3.6	-0.417 392
1.9	-0.636 736	3.7	-0.409 103
1.925	-0.646 330	3.8	-0.402 152
1.95	-0.654 327	3.9	-0.396 355
1.975	-0.660 862	4	-0.391 560
2	-0.666 061	4.2	-0.384 400
2.025	-0.670 042	4.4	-0.379 581
2.05	-0.672 910	4.6	-0.376 291
2.075	-0.674 766	4.8	-0.374 328
2.1	-0.675 701	5	-0.372 686
2.125	-0.675 802	5.2	-0.371 507
2.15	-0.675 146	5.4	-0.370 653
2.175	-0.673 806	5.6	-0.370 030
2.2	-0.671 850	5.8	-0.369 573
2.225	-0.669 339	6.0	-0.369 238
2.25	-0.666 331	6.2	-0.368 990
2.275	-0.662 879	6.4	-0.368 805
2.3	-0.659 031	6.6	-0.368 668
2.325	-0.654 834	6.8	-0.368 565 <b>4</b>
2.35	-0.650 328	7.0	-0.368 489
2.375	-0.645 551	7.2	-0.368 433
2.4	-0.640 539	7.4	-0.368 392
2.425	-0.635 325	7.6	-0.368 362
2.45	-0.629 938	7.8	-0.368 340
2.55	-0.607 174	8.0	-0.368 328

<sup>a</sup>Add -112 to get the total energy.

cross the ion potential energy curve. This requires the use of 77 diabatic states, i.e., neutral states from which the ion ground 78 state has been projected out. Without this projection, the 79 neutral states would have avoided crossings with Rydberg states 80 and would not cross the ion. This projection is accomplished 81 approximately by omitting diffuse character in the basis set. 82 This effectively removes, for energies near the ion, all character 83 that is primarily the ground or excited ion state plus a diffuse 84 Rydberg orbital. The degree of Rydberg–valence mixing varies 85 with the electronic symmetry, and this is discussed below. 86 Because Rydberg character is intentionally omitted, the 87 calculated spectroscopic constants may not agree favorably 88 with experiment for states that have considerable Rydberg– 89 valence mixing. For the neutral states, the orbitals and the CI 90 wave functions were determined using the same approach as 91 described for the ion. For each electronic symmetry, the 92 CASSCF orbitals were optimized for that symmetry. 93

The importance of a dissociative state is in part determined 94 by whether the repulsive wall comes close enough to the  $\nu = 0$  95 ion turning points to make a significant contribution to the 96 cross section through either the direct or the indirect DR 97 mechanisms. Recently, for N<sub>2</sub><sup>+</sup> DR,<sup>14</sup> I found that a useful 98 criterion for selecting dissociative states involves an examina- 99 tion of a high vibrational level of the lowest Rydberg state of 100 the same electronic symmetry. If the level falls above  $\nu = 0$ , and 101 the dissociative curve crosses the Rydberg state near the turning 102 points of that level, then that state may provide a route for DR 103 by the indirect mechanism. This criterion does not require that 104 the repulsive curve cross the ion but does require another 105 repulsive curve of the same electronic symmetry to have a 106 favorable ion crossing. Initial capture occurs into the favorable 107 ion crossing state followed by transfer to the unfavorable state 108 via the intermediate Rydberg state. For N<sub>2</sub><sup>+</sup>, I found that the 109 C<sup>3</sup>Π<sub>u</sub> state, at the energy of the  $\nu = 0$  ion level, is near the inner 110 turning point of the  $\nu = 11$  level of the  $n = 3$  G<sup>3</sup>Π<sub>u</sub> state and 111 0.2a<sub>0</sub> to smaller R from the inner turning point of  $\nu = 0$ . 112 Nevertheless, this dissociative route contributed 13% of the 113 total cross section near the energy of  $\nu = 11$ . 114

There are four atomic asymptotes that can provide routes for 115 DR from the  $\nu = 0$  ion level near zero electron energy. Because 116 of the <sup>2</sup>Σ<sup>+</sup> symmetry of the ion ground state, only singlet and 117 triplet states are likely dissociative routes. States of Σ<sup>-</sup>, Φ, and 118

Table 2. Spectroscopic Results for CO and CO<sup>+</sup> Diabatic States Compared to Experiment<sup>13</sup>

state	T <sub>e</sub> (eV)	ω <sub>e</sub> (cm <sup>-1</sup> )	ω <sub>e</sub> x <sub>e</sub> (cm <sup>-1</sup> )	R <sub>e</sub> (bohr)
CO <sup>+</sup> , X <sup>2</sup> Σ <sup>+</sup>	13.96 (14.01)	2200 (2214)	18.25 (15.16)	2.1156 (2.1073)
CO, 2 <sup>1</sup> Δ	14.30	963	37.1	2.6677
CO, 3 <sup>1</sup> Σ <sup>+</sup>	13.26	654	6.83	3.5577
CO, 3 <sup>1</sup> Π	13.03	492	-30.19	3.5965
CO, 2 <sup>1</sup> Π	12.43	1116	16.99	2.5347
CO, 3 <sup>3</sup> Π	12.40	1259	59.97	2.6041
CO, 3 <sup>3</sup> Π <sup>c</sup>	12.08	422.4	69.74	3.8828
CO, 2 <sup>3</sup> Π	11.26 (11.28) <sup>b</sup>	816.3 (805.1) <sup>b</sup>	4.67 (-2.85) <sup>b</sup>	2.6248
CO, D <sup>1</sup> Σ <sup>+</sup>	11.11 (11.09) <sup>a</sup>	523.9 (651.4) <sup>a</sup>	52.64 (20.4) <sup>a</sup>	3.0895 (2.991) <sup>a</sup>
CO, A <sup>1</sup> Π	8.09 (8.07)	1521 (1518)	27.69 (19.40)	2.3426 (2.3344)
CO, D <sup>1</sup> Δ	8.06 (8.10)	1080 (1094.0)	9.26 (10.20)	2.6603 (2.6437)
CO, d <sup>3</sup> Δ	7.43 (7.58)	1181 (1171)	9.67 (10.63)	2.6113 (2.5882)
CO, a <sup>1</sup> Σ <sup>+</sup>	6.76 (6.92)	1229 (1228)	9.82 (10.468)	2.5837 (2.5555)
CO, a <sup>3</sup> Π	6.07 (6.03)	1733 (1743)	13.76 (14.36)	2.2881 (2.2785)
CO, X <sup>1</sup> Σ <sup>+</sup>		2151 (2169)	12.54 (13.29)	2.1394 (2.1322)

<sup>a</sup>From ref 32. <sup>b</sup>From ref 16. <sup>c</sup>This is the outer adiabatic well.

119  $\Gamma$  symmetry will have only very small electronic capture widths  
 120 and can be neglected. With these considerations, from the  
 121 ground states of the separated atoms there are  $X^1\Sigma^+$ ,  $D^1\Sigma^+$ ,  
 122  $a^3\Sigma^+$ ,  $2^3\Sigma^+$ ,  $A^1\Pi$ ,  $2^1\Pi$ ,  $a^3\Pi$ ,  $2^3\Pi$ , and the bound  $D^1\Delta$  and  $d^3\Delta$   
 123 states. Both the second asymptote,  $C(^1D) + O(^3P)$ , and the  
 124 third energetically possible asymptote,  $C(^3P) + O(^1D)$ , yield  
 125 one  $^3\Sigma^+$ , two  $^3\Delta$ , and three  $^3\Pi$  states. The fourth asymptote,  
 126  $C(^1S) + O(^3P)$ , yields a  $^3\Pi$  state. The next asymptote,  $C(^1D) +$   
 127  $O(^1D)$ , lies at 0.2961 eV<sup>13,15</sup> above  $\nu = 0$  and gives rise to  $3^1\Delta$ ,  
 128  $4^1\Pi$ , and  $3^1\Sigma^+$  states. Several of the above states are well-known  
 129 and bound and will not be important routes for DR.

130 The calculated  $^3\Pi$  potential curves are shown in Figure 1.  
 131 The CASSCF active space is the same as that for the ion. The

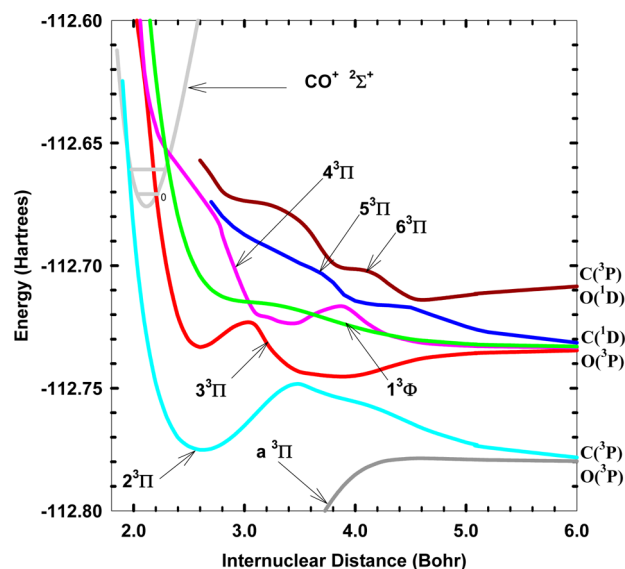


Figure 1.  $^3\Pi$  and  $^3\Phi$  states of CO with the ion ground state.

CASSCF calculations are done in an average of  $^3B_1$  and  $^3B_2$  132  
 symmetry of  $C_{2v}$  so that the  $\pi_x$  and  $\pi_y$  orbitals are equivalent. 133  
 The orbitals are obtained from an eight-root average in the 134  
 CASSCF. Eight roots are obtained in the MRCI in  $^3B_1$  135  
 symmetry. The MRCI energies including the Davidson 136  
 correction are given in Table 3 for  $2^3\Pi$  and  $3^3\Pi$ . The  $^3\Phi$  137  
 curve is also a product of the calculations and is shown in 138  
 Figure 1, although it plays no role in DR. The  $a^3\Pi$  potential 139  
 curve is partially shown in Figure 1 and is too low in energy for 140  
 DR. Its spectroscopic constants (see Table 2) are comparable 141  
 in accuracy to those for the ion except for  $\omega_e x_e$  and provide 142  
 confidence that the other  $^3\Pi$  curves are as accurate. Note that 143  
 $a^3\Pi$  has a hump of 245  $\text{cm}^{-1}$  at  $4.5954a_0$ ,  $2^3\Pi$  has an avoided 144  
 crossing with  $3^3\Pi$  near  $3.5a_0$ . Both states have wells with 145  
 minima at  $2.6248a_0$  and  $2.6041a_0$ , respectively (see Table 2). 146  
 These purely valence potential curves have minima that lie 147  
 above their asymptotes (see Figure 1), indicating that the 148  
 vibrational levels may be short-lived. Based upon the widths of 149  
 the barriers, the low levels of  $2^3\Pi$  should have longer lifetimes 150  
 than those of  $3^3\Pi$ . The  $T_e$  and  $\omega_e$  values for  $2^3\Pi$  are in excellent 151  
 agreement with experiment.<sup>16</sup> Also reported in Table 2 are 152  
 spectroscopic constants for the  $3^3\Pi$  outer well. 153

From the  $\nu = 0$  ion level at low electron energies, it is clear 154  
 from Figure 1 that only the  $2^3\Pi$  and  $3^3\Pi$  states will play a role. 155  
 $4^3\Pi$  is too far to large  $R$ , at the  $\nu = 0$  energy, to play a role at 156  
 low energy, but it could contribute at electron energies near 0.5 157  
 eV. 158

The  $^1\Pi$  curves were calculated with the same approach as for 159  
 $^3\Pi$  except that six roots for both  $^1B_1$  and  $^1B_2$  were averaged for 160  
 determination of the orbitals and six roots were obtained in the 161  
 MRCI. The MRCI energies with the Davidson correction for 162  
 $2^1\Pi$  are in Table 3. A portion of the  $A^1\Pi$  curve is shown in 163  
 Figure 2. Although it is too low in energy to contribute to DR, 164  
 its calculated spectroscopic constants (see Table 2), especially 165  
 $T_e$  and  $\omega_e$ , are excellent. The A state has a hump of 543  $\text{cm}^{-1}$  166  
 found here at  $4.3081a_0$ .  $2^1\Pi$  has an avoided crossing with  $3^1\Pi$  167  
 near  $3.3a_0$ . The peak of the hump in  $2^1\Pi$  is at  $3.2a_0$  and is 1.94 168

Table 3. Energies (au)<sup>a</sup> for  $2^3\Pi$  and  $3^3\Pi$

R (bohr)	$2^3\Pi$	$3^3\Pi$	R (bohr)	$2^3\Pi$	$3^3\Pi$
1.9	-0.624 687	-0.531 503	3.9	-0.754 392	-0.745 241
2.0	-0.684 835	-0.588 339	4.0	-0.755 509	-0.744 900
2.1	-0.723 970	-0.624 696	4.1	-0.756 746	-0.744 052
2.2	-0.747 972	-0.669 697	4.2	-0.758 323	-0.742 807
2.3	-0.761 901	-0.700 974	4.3	-0.760 152	-0.741 382
2.4	-0.770 119	-0.720 768	4.5	-0.764 169	-0.738 889
2.5	-0.773 746	-0.730 490	4.7	-0.767 856	-0.737 221
2.6	-0.775 137	-0.733 207	5.0	-0.772 067	-0.735 951
2.7	-0.774 718	-0.731 679	5.1	-0.773 232	-0.735 689
2.8	-0.772 730	-0.728 316	5.2	-0.774 182	-0.735 488
2.9	-0.769 450	-0.725 026	6.0	-0.778 236	-0.734 602
3.0	-0.765 253	-0.723 269	6.1	-0.778 464	-0.734 527
3.1	-0.760 566	-0.724 279	6.2	-0.778 657	-0.734 459
3.2	-0.755 872	-0.731 437	6.4	-0.778 958	-0.734 335
3.3	-0.751 768	-0.737 029	6.6	-0.779 175	-0.734 224
3.4	-0.749 021	-0.740 973	7.2	-0.779 427	-0.733 818
3.5	-0.748 284	-0.743 331	7.4	-0.779 484	-0.733 759
3.6	-0.749 985	-0.744 129	7.6	-0.779 520	-0.733 705
3.7	-0.751 739	-0.744 733	7.8	-0.779 546	-0.733 658
3.8	-0.753 237	-0.745 109	8.0	-0.779 568	-0.733 621

<sup>a</sup>Add -112 to get the total energy.

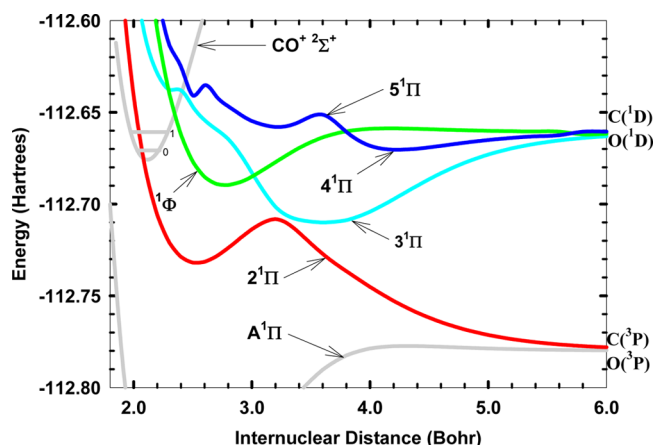


Figure 2.  $^1\Pi$  and  $^1\Phi$  states of CO with the ion ground state.

169 eV above the asymptote. Spectroscopic constants for both of  
 170 these curves are given in Table 2. The minimum of the well in  
 171  $2^1\Pi$  lies above the asymptote, and these vibrational levels may  
 172 be short-lived.

173 The initial capture state for DR from  $\nu = 0$  at low electron  
 174 energies is clearly  $2^1\Pi$ , although  $3^1\Pi$  may play a role near 0.8  
 175 eV.

176 Calculations in  $^1A_1$  symmetry show that the  $2^1\Sigma^+$  or  $D'$  state  
 177 is likely to be an important DR route. However, for this state,  
 178 the cc-pVQZ basis provides significant Rydberg character to  
 179  $2^1\Sigma^+$  in the energy region below the ion. For this reason, the  
 180 most diffuse contracted functions in the s and p sets were  
 181 removed from the C and O bases. These functions are single  
 182 primitives, and they were kept in the other contracted  
 183 functions. The basis set for the  $^1A_1$  calculations was therefore  
 184 4s, 3p, 3d, 2f, 1g (43321). The CASSCF orbitals were  
 185 determined by averaging over the lowest six roots in  $^1A_1$   
 186 symmetry of  $C_{2v}$  at all R except for  $R > 6.4$ , where the orbitals  
 187 were averaged over the lowest three roots. In the MRCI  
 188 calculations at the ion  $R_e$ , the second and fourth routes are  $^1\Delta$   
 189 state and the others are  $^1\Sigma^+$ . The lowest  $^1\Sigma^+$  state is the ground  
 190 state, and the energy at its minimum ( $-113.147716$ ) is used to  
 191 calculate the  $T_e$  values for the  $^1,3A_1$  states in Table 2. The state  
 192 of interest,  $D'^1\Sigma^+$ , is the third root. The MRCI potential curves  
 193 are shown in Figure 3 with the ion ground state. The Davidson-  
 194 corrected MRCI energies for  $D'^1\Sigma^+$  are given in Table 4. The

spectroscopic constants are in Table 2. In order to position the  
 ion correctly in the plots for this basis, the ion curve in Table 1  
 was shifted upward so as to be at the experimental<sup>13</sup>  $T_e$  above  
 the 43321 ground state minimum given above.

From Figure 3, it is clear that the  $D'$  state has a favorable  
 intersection with the ion  $\nu = 0$  level. The  $D'$  state also has a  
 small hump of  $1349\text{ cm}^{-1}$  ( $0.1673\text{ eV}$ ) at  $3.6037a_0$ . The  
 minimum in  $D'$  is  $0.1053\text{ eV}$  above the asymptote.  $D^1\Delta$  and  
 $2^1\Delta$  are not likely to be important for DR because there is no  
 repulsive  $\Delta$  state with a favorable intersection that could serve  
 as a feeder state (through a high lying vibrational level of a low  
 $n$  Rydberg state) to the D and 2 states.<sup>14</sup>  $3^1\Sigma^+$  is unlikely to play  
 a role in DR at electron energies below  $1.8\text{ eV}$  above  $\nu = 0$ .

The  $^3A_1$  states,  $^3\Sigma^+$  and  $^3\Delta$ , are shown in Figure 4. These  
 states were calculated with the same basis as the  $^1A_1$  states. The  
 orbitals were determined in a  $^3A_1$  CASSCF which averaged over  
 the lowest eight roots. The eight root MRCI energies with the  
 Davidson correction are shown in Figure 4. Clearly there is no  
 favorable direct recombination route from  $\nu = 0$  at electron  
 energies below  $1.6\text{ eV}$ . Indirect recombination may lead to  
 some cross-section structure due to DR along  $2^3\Sigma^+$ , but it is  
 likely to be in a narrow energy range and is not expected to  
 affect the rate constant.

### III. ATOMIC PRODUCTS OF DR

Dissociation along  $2^3\Pi$  can lead to ground state atoms if the  
 flux remains on this curve (see Figure 1). However, near  $3.5a_0$   
 an avoided crossing with  $3^3\Pi$  can lead to  $C(^1D)$  and  $O(^3P)$ .  
 Dissociation along  $3^3\Pi$  leads directly to  $C(^1D)$  and  $O(^3P)$ , but  
 three avoided crossings with other states can also lead to  $C(^1D)$   
 +  $O(^3P)$  and  $C(^3P)$  +  $O(^1D)$ . From  $\nu = 0$  at  $0\text{ eV}$  electron  
 energy, the hottest atoms are generated with a combined  $2.92$   
 $\text{eV}$  of translational energy of which  $1.67\text{ eV}$  goes to  $C(^3P)$  and  
 $1.25\text{ eV}$  goes to  $O(^3P)$ . For the  $C(^1D)$  +  $O(^3P)$  asymptote,  $1.66$   
 $\text{eV}$  is shared between C ( $0.95\text{ eV}$ ) and O ( $0.71\text{ eV}$ ). For the  
 $C(^3P)$  +  $O(^1D)$  asymptote,  $0.953\text{ eV}$  is shared between C ( $0.54$   
 $\text{eV}$ ) and O ( $0.41\text{ eV}$ ).

Dissociation along  $2^1\Pi$  from  $\nu = 0$  will only generate ground  
 state atoms unless the electron energy is above  $0.2961\text{ eV}$ , at  
 which the  $C(^1D)$  +  $O(^1D)$  asymptote becomes accessible. A  
 traversal of the avoided crossing with  $3^1\Pi$  near  $R = 3.2a_0$  (see  
 Figure 2) is needed to reach this limit.

For the  $^1,3A_1$  states in Figure 3, only the  $D'$  state may be an  
 important DR route and it will only generate ground state  
 atoms.

### IV. COMPARISON TO PRIOR RESEARCH

A review of early theoretical research can be found in the paper  
 of O'Neil and Schaefer.<sup>17</sup> While early calculations<sup>17</sup> are of a  
 much smaller scale than the current calculations, there is  
 considerable qualitative agreement. They<sup>17</sup> reported full CI  
 minimal basis calculations and found that the second, third, and  
 fourth  $^3\Sigma^+$  states are repulsive in agreement with the results  
 reported here. Also, they found a broad peak in the  $A^1\Pi$  state of  
 $1140\text{ cm}^{-1}$  near  $3.8a_0$  compared to a value of  $543\text{ cm}^{-1}$  found  
 here at  $4.3081a_0$ . (From experimental spectra<sup>18</sup> a minimum  
 value for the hump is  $350\text{ cm}^{-1}$  and a maximum value based  
 upon a "crude extrapolation"<sup>18</sup> is  $950 \pm 150\text{ cm}^{-1}$ .) The  $2^1\Pi$   
 and  $3^1\Pi$  states show an avoided crossing near  $3.4a_0$ , and  $2^1\Pi$   
 crosses the ion near the inner turning point of  $\nu = 0$  as is found  
 in the current study. The  $2^3\Pi$  state crosses the ion inner wall  
 above  $\nu = 0$  in agreement with the curve reported here. The

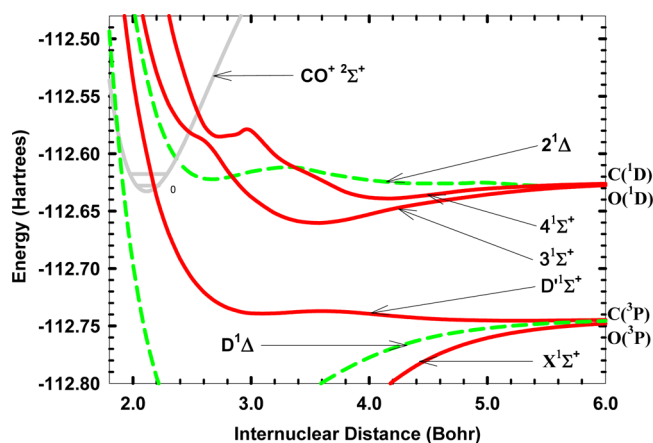


Figure 3.  $^1\Sigma^+$  (red, solid lines) and  $^1\Delta$  (green, dashed lines) states of CO with the ion ground state.

Table 4. Energies (au)<sup>a</sup> for 2<sup>1</sup>Π and D'<sup>1</sup>Σ<sup>+</sup>

R (bohr)	2 <sup>1</sup> Π	D' <sup>1</sup> Σ <sup>+</sup>	R (bohr)	2 <sup>1</sup> Π	D' <sup>1</sup> Σ <sup>+</sup>
1.8	-0.492 006	-0.349 985	4.6	-0.764 332	-0.744 125
1.9	-0.579 726	-0.456 733	4.8	-0.768 305	-0.744 826
2.0	-0.640 929	-0.535 169	5.0	-0.771 321	-0.745 225
2.1	-0.681 229	-0.592 010	5.2	-0.773 579	-0.745 416
2.2	-0.705 992	-0.637 304	5.4	-0.775 254	-0.745 371
2.3	-0.720 783	-0.668 784	5.6	-0.776 485	-0.745 196
2.4	-0.728 726	-0.691 844	5.8	-0.777 384	-0.744 988
2.5	-0.731 888	-0.708 583	6.0	-0.778 037	-0.744 749
2.6	-0.731 447	-0.720 520	6.2	-0.778 507	-0.744 503
2.7	-0.728 451	-0.728 767	6.4	-0.778 806	-0.744 275
2.8	-0.723 873	-0.734 166	6.6	-0.779 055	-0.743 945
2.9	-0.718 654	-0.737 380	6.8	-0.779 249	-0.743 768
3.0	-0.713 753	-0.738 959	6.9	-0.779 266	
3.2	-0.708 200	-0.738 967	7.0	-0.779 310	-0.743 617
3.4	-0.715 623	-0.737 675	7.2	-0.779 460	-0.743 492
3.6	-0.727 155	-0.737 031	7.4	-0.779 523	-0.743 388
3.8	-0.736 587	-0.737 730	7.6	-0.779 568	-0.743 303
4.0	-0.745 140	-0.739 386	7.8	-0.779 599	-0.743 234
4.2	-0.752 784	-0.741 264	8.0	-0.779 621	-0.743 178
4.4	-0.759 202	-0.742 887			

<sup>a</sup>Add -112 to get the total energy.

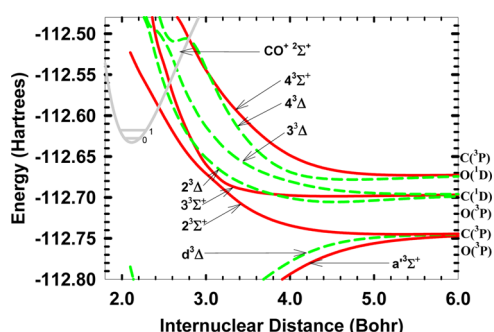


Figure 4. 3<sup>3</sup>Σ<sup>+</sup> (red, solid lines) and 3<sup>3</sup>Δ (green, dashed lines) states of CO with the ion ground state.

253 3<sup>3</sup>Π state crosses near the inner turning point of  $\nu = 0$ , but the  
 254 curve reported here crosses near the outer turning point. The  
 255 4<sup>3</sup>Π state appears to be close to the 3<sup>3</sup>Π near the ion, which the  
 256 current calculations do not find. They show that the D'<sup>1</sup>Σ<sup>+</sup> state  
 257 has a favorable crossing with the  $\nu = 0$  level of the ion, which is  
 258 also found here. They found 2<sup>3</sup>Σ<sup>+</sup> and 2<sup>3</sup>Δ crossing the outer  
 259 wall of the ion above  $\nu = 0$  as found here. Lastly, their D and  
 260 2<sup>1</sup>Δ states cross the ion above  $\nu = 0$  as found here.  
 261 Another early paper<sup>19</sup> using Hartree–Fock orbitals and a  
 262 limited CI did not report the repulsive states of interest here  
 263 but did report spectroscopic constants for several states. For the  
 264 states listed here in Table 2, their  $T_e$  values compare quite  
 265 favorably, with the exception of the A state which is 0.67 eV too  
 266 high. For the a' and d states the  $T_e$  values of 6.89 and 7.51 eV  
 267 are better than those reported here (see Table 2) and may be  
 268 due to the presence of Rydberg character (which is intention-  
 269 ally omitted here) in the basis set.<sup>20</sup> Their  $R_e$  values are further  
 270 from experiment than those reported here, except for the a' and  
 271 d states. Some of their  $\omega_e$  values are considerably further from  
 272 experiment than those reported here. For example, the neutral  
 273 ground state differs by 169 cm<sup>-1</sup> and the A state by 289 cm<sup>-1</sup>  
 274 from experiment but the a' and d state values are close to those

calculated here. The accuracy of these early calculations for the 275  
 a' and d states is remarkable. 276

The D' and C' (here labeled 3<sup>1</sup>Σ<sup>+</sup>) states have been the 277  
 subject of prior calculations<sup>21</sup> using a large Slater basis set with 278  
 diffuse functions. Orbitals were obtained in MCSCF calcula- 279  
 tions on A<sup>1</sup>Π, and the CI was generated by taking excitations 280  
 into a partitioned orbital space. They reported an outer hump 281  
 in D' of 0.205 eV at 3.75a<sub>0</sub>. The hump calculated here is 0.038 282  
 eV smaller and is at 0.15a<sub>0</sub> smaller R. A secondary minimum at 283  
 3.145a<sub>0</sub> and 0.092 eV above its asymptote was reported 284  
 compared to the values found here of 3.0895a<sub>0</sub> and 0.105 eV 285  
 above the asymptote. They found a primary minimum at 286  
 smaller R due to Rydberg character, which is not present in 287  
 these calculations. The  $T_e$  of 11.11 eV is the same value 288  
 obtained here (see Table 2). The estimated value for  $\omega_e$  of 550 289  
 cm<sup>-1</sup> compares well to the value found here of 523.9 cm<sup>-1</sup>. For 290  
 C' (labeled 3<sup>1</sup>Σ<sup>+</sup> here) they reported an outer well with  $T_e$ ,  $R_e$ , 291  
 and  $\omega_e$  of 13.34 eV, 3.619a<sub>0</sub>, and an estimated 600 cm<sup>-1</sup>, in 292  
 good agreement with the values found here of 13.26, 3.5577a<sub>0</sub>, 293  
 and 654 cm<sup>-1</sup>, respectively. They commented that this well may 294  
 be too high. An inner well due to Rydberg character was found. 295  
 The A<sup>1</sup>Π state has  $T_e$ ,  $R_e$ ,  $\omega_e$ , and  $\omega_e x_e$  of 8.28 eV, 2.362a<sub>0</sub>, 296  
 1475 cm<sup>-1</sup>, and 18.9 cm<sup>-1</sup> and does not compare as well with 297  
 experiment as the values reported here (see Table 2), with the 298  
 exception of  $\omega_e x_e$ . A<sup>1</sup>Π also has a maximum of 838 cm<sup>-1</sup> at 299  
 4.31a<sub>0</sub> compared to the value found here of 543 cm<sup>-1</sup> at 300  
 4.3081a<sub>0</sub>. The peak found in E<sup>1</sup>Π (labeled here 2<sup>1</sup>Π) of 2.18 eV 301  
 at 3.20a<sub>0</sub> is in agreement with the results found here (see 302  
 above). The 2<sup>1</sup>Π minimum found here at 2.5347a<sub>0</sub> does not 303  
 appear in their curve due to mixing with Rydberg character. 304

The only storage ring experiment<sup>23</sup> on CO<sup>+</sup> DR was reported 305  
 in 1998 when there was much less theoretical guidance 306  
 available than there is today. The experiment was done on 307  
<sup>13</sup>CO<sup>+</sup>. The results reported here show that the DR of CO<sup>+</sup> will 308  
 occur on potential curves of <sup>1,3</sup>Π and <sup>1</sup>Σ<sup>+</sup> symmetry. Since the 309  
 ground state has <sup>2</sup>Σ<sup>+</sup> symmetry, the free electron must have pπ 310  
 or dπ symmetry to form <sup>1,3</sup>Π states and sσ, pσ, or dσ symmetry 311  
 to form the <sup>1</sup>Σ<sup>+</sup> state. Higher values of the angular momentum 312

will be less important because of small electron capture widths. In the derivation of the product quantum yields from the storage ring data, product distributions were fit using model isotropic,  $\sin^2 \theta$ , and  $\cos^2 \theta$  angular distributions, where  $\theta$  is the angle between the internuclear axis and the space fixed axis in the direction of the electron beam. This corresponds to using only  $s\sigma$ ,  $p\pi$ , and  $p\sigma$  electron waves, respectively.<sup>22</sup> However, capture by  $d\pi$  and  $d\sigma$  electron partial waves (corresponding to  $\sin^2 \theta \cos^2 \theta$  and  $(3 \cos^2 \theta - 1)^2$  atomic product distributions, respectively) is quite likely<sup>22</sup> but was ignored in the derivation of quantum yields. At zero electron energy, this omission is not important because the product angular distributions are expected to be mostly isotropic. Indeed, Figure 4 of ref 23 shows good agreement between the measured product distributions and the model distributions. At nonzero electron energies where the direction of the electron beam is well-defined relative to the ion, the product distribution is anisotropic. For nonzero electron energies, Figure 5 of ref 23 shows considerably less agreement between the measured and fitted model distributions and the decreased agreement may be due to the omission of  $d\pi$  and  $d\sigma$  electron partial waves in the model. The decreased agreement has been attributed to “sharply reduced count rates”<sup>23</sup> at nonzero energies.

Since theoretical quantum yields will be reported separately, a quantitative comparison with the storage ring<sup>23</sup> results is not yet possible. However, the storage ring finding that, at 0 eV electron energy, 76% of the DR events lead to ground state atoms and no O(<sup>1</sup>S) is observed is in qualitative agreement with the results reported here. Of the four important dissociative routes identified in this paper, only ground state atoms are generated from D'<sup>1</sup> $\Sigma^+$  and from 2<sup>1</sup> $\Pi$  below 0.2961 eV electron energy. Along 2<sup>3</sup> $\Pi$ , mostly ground state atoms will result as long as the dissociating flux remains on the adiabatic curve, as it is likely to do.

Rosen et al.<sup>23</sup> pointed out a large disagreement between a model potential<sup>24</sup> for the diabatic D' state derived from experimental data and an ab initio calculation using Hartree–Fock orbitals and a single CI.<sup>25</sup> In the model, the D' state crosses the ion at the large R turning point of the  $\nu = 0$  level, but in the latter calculation, it crosses at the inner turning point of  $\nu = 2$ . The results reported here (see Figure 3) show that the crossing occurs near the large R turning point of  $\nu = 0$ , in agreement with the model potential (but slightly to smaller R) and in clear disagreement with the ab initio result. The ab initio calculation<sup>25</sup> also does not display a hump for  $R < 4$  Å and is purely repulsive in disagreement with the results reported here. From experimental data, the model found the outer hump in the D' state to be between 0.106 and 0.1588 eV above the asymptote compared to the value found here of 0.167 eV. The ab initio calculation<sup>25</sup> showed the 2<sup>1</sup> $\Pi$  curve crossing the ion at the outer turning point of  $\nu = 0$  in agreement with the result reported here (but slightly to smaller R).

Calculations on the D and 2<sup>1</sup> $\Delta$  states have been reported<sup>26</sup> using the approach described above.<sup>21</sup> The spectroscopic constants for the D state are in good agreement with those calculated here except for  $\omega_e$ , which is 56 cm<sup>-1</sup> below the experimental value compared to 14 cm<sup>-1</sup> below for the value reported here (see Table 2). For 2<sup>1</sup> $\Delta$ , the  $T_e$  is 0.59 eV below that calculated here and the other spectroscopic constants are not similar to those reported here, probably reflecting the importance of Rydberg character in their adiabatic calculation. This character is intentionally omitted here in order to obtain a diabatic valence state. The importance of Rydberg character is

evident in their calculated  $\omega_e$  of 2198 cm<sup>-1</sup> which is very similar to that for the ion.

A correlation scaled plus extrapolation<sup>27</sup> approach has been applied to the A<sup>1</sup> $\Pi$  state and yields a barrier height of  $594 \pm 46$  cm<sup>-1</sup> at  $4.25 \pm 0.05a_0$  in good agreement with the result obtained here. The calculated  $R_e$  is  $2.3331 \pm 0.0028a_0$  and is an improvement over the value found here of  $2.3426a_0$ .

A recent calculation<sup>28</sup> used an aug-cc-pVQZ<sup>9</sup> basis supplemented with diffuse functions. The orbitals were determined in single configuration SCF calculations. The CI wave function was generated by taking all single and double excitations from a multiconfiguration reference space composed of configurations chosen with an energy selection criterion. The Davidson correction was used. The energy of the neutral ground state is  $-113.176\ 156\ 58$  at the experimental  $R_e$  and is above the value calculated here of  $-113.189\ 044$  at the calculated  $R_e$ , which is only  $0.0072a_0$  from the experimental  $R_e$ . Rydberg character, intentionally omitted here, plays a greater role in the energy of high lying valence states compared to low lying states. The reported calculations have improved spectroscopic constants (with the exception of  $T_e$ ) compared to those calculated here for the D' state. Spectroscopic constants for C'<sup>1</sup> $\Sigma^+$  (here labeled 3<sup>1</sup> $\Sigma^+$ ), although uncertain, compare favorably to those calculated here. The E'<sup>1</sup> $\Pi$  state (labeled 2<sup>1</sup> $\Pi$  here) has a jagged structure compared to the smooth valence curve calculated here. This is in part due to Rydberg character. The 3<sup>1</sup> $\Pi$  valence state has a broad well similar to that calculated here. No spectroscopic constants were reported for 3<sup>1</sup> $\Pi$ . Potential curves for the k<sup>3</sup> $\Pi$  (labeled 2<sup>3</sup> $\Pi$  here) and 3<sup>3</sup> $\Pi$  states have an avoided crossing near  $3.5a_0$  with a double minimum in the upper state, not found here. The minimum in the k state is at 11.220 eV with an  $R_e$  of  $2.519a_0$ , in good agreement with the values found here of 11.26 eV and  $2.6248a_0$ . Their smaller  $R_e$  is probably due to diffuse functions. 4<sup>3</sup> $\Pi$  (labeled here 3<sup>3</sup> $\Pi$ ) falls at 12.35 eV, only 0.05 eV from the minimum in the state calculated here. The  $R_e$  value of 2.65 compares well to our value of  $2.6041a_0$ .

Using an approach similar to that described here, comparable spectroscopic constants were found for the X, A, a', and d states.<sup>29</sup> No other states were reported. The barrier in the A state was found to be 792 cm<sup>-1</sup> at  $4.2a_0$ .

A diabatic Morse potential<sup>30</sup> for the E'<sup>1</sup> $\Pi$  state (labeled 2<sup>1</sup> $\Pi$  here) derived from spectroscopic data found a minimum at  $2.31a_0$  compared to a value of  $2.5347a_0$  found here. The difference may be due to Rydberg character which is intentionally omitted here. For <sup>12</sup>C<sup>16</sup>O, I found a force constant and an anharmonicity of 1116 and 16.99 cm<sup>-1</sup>, respectively. The calculated  $T_e$  is 100 290 cm<sup>-1</sup> compared to 98 176.0 cm<sup>-1</sup> found for the diabatic Morse potential.

Dissociation along 2<sup>3</sup> $\Pi$  appears to account for the results of a photodissociation experiment<sup>31</sup> that found evidence for a repulsive <sup>3</sup> $\Pi$  state at about 1.8 eV below the bottom of the ion potential well leading to C(<sup>1</sup>D). The relevant curve appears to be 2<sup>3</sup> $\Pi$  (see Figure 1).

## V. CONCLUSIONS

Based solely upon proximity to the ion, four states dominate the DR of  $\nu = 0$ : 2<sup>3</sup> $\Pi$ , 3<sup>3</sup> $\Pi$ , 2<sup>1</sup> $\Pi$ , and D'<sup>1</sup> $\Sigma^+$ . A final assessment of the importance of these states for DR awaits the calculation of electron capture widths and cross sections, which will be published separately.

Although quantum yields will be presented in a future paper, the storage ring<sup>23</sup> result that most of the recombinations 436

437 produce ground state atoms is in agreement with the results  
438 reported here.

439 A  $^3\Pi$  state arises from the  $C(^1S) + O(^3P)$  limit. This state is  
440 not calculated here but has an asymptote that is 0.24 eV  
441 (0.0088 au) below the ion  $\nu = 0$  level. From the potential curves  
442 in Figure 1 is appears unlikely that this limit can be reached  
443 starting from  $2^3\Pi$  or  $3^3\Pi$  and after traversing several curve  
444 crossings. It is therefore unlikely that  $C(^1S)$  can be generated  
445 from DR of  $\nu = 0$  at electron energies between 0 and 1 eV.  
446 Surprisingly, the storage ring experiment<sup>23</sup> found a yield of 5%  
447 (with an error of 30%) for  $C(^1S)$  at 1.0 eV. Also, the energetics  
448 prohibit the generation of  $O(^1S)$  from  $\nu = 0$  for these energies  
449 in agreement with the storage ring result.

450 The generation of excited atoms ( $O(^1D)$  and  $C(^1D)$ ) at  
451 electron energies below 0.2961 eV requires DR via the  $2^3\Pi$  and  
452  $3^3\Pi$  states and is responsible for  $C(^1D)$ , detected by the  
453 International Ultraviolet Explorer and attributed<sup>3</sup> to DR of  
454  $CO^+$ .

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### 458 Notes

459 The authors declare no competing financial interest.

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